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PATENT

Atty. Docket No. ACS 52008 (18161)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box PATENT APPLICATION
 ASSISTANT COMMISSIONER FOR PATENTS
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventors: Kent C. B. Stalker

For: DEVICE FOR, AND METHOD OF, BLOCKING EMBOLI IN VESSELS SUCH AS BLOOD ARTERIES

Enclosed are:

- ☒ Nine (9) pages of specification
☒ Four (4) pages of claims
☒ One (1) page of Abstract
☒ Three (3) sheets of drawing(s) ☐ Formal ☒ Informal
☐ Declaration or oath
☒ This application is being filed under 37 CFR 1.53(d) without a signed Declaration or filing fee.
☐ A certified copy of a _____ application.
☐ An associate power of attorney.
☐ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is (are attached).
☐ Preliminary Amendment
☐ Information Disclosure Statement
☐ Form PTO-1449 and copies of documents listed thereon.
☐ An assignment of the invention to ADVANCED CARDIOVASCULAR SYSTEMS, INC.
☐ is attached. A separate ☐ "Cover Sheet for Assignment Accompanying New Patent Application" or ☐ Form PTO 1595 is also attached.
☐ will follow.
☐ Other:

The filing fee has been calculated below:

	CLAIMS	CLAIMS
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	12 - 20 =	* -0-
INDEP. CLAIMS	2 - 3 =	* -0-
MULT.DEP. CLAIMS		
ASSIGNMENT RECORDAL		

SMALL ENTITY	
RATE	FEE
	\$ 380
x \$ 9	\$
x \$ 39	\$
+ \$130	\$
\$ 40	\$
TOTAL	\$

LARGE ENTITY	
RATE	FEE
	\$ 690
x \$ 18	\$
x \$ 78	\$
+ \$260	\$
\$ 40	\$
TOTAL	\$ 690

*If the difference in No. of Claims filed
 is less than zero, enter "0" in No. of Extra

 12/30/99
 jc672 U.S. PTO


 jc615 U.S. PTO
 09/476689
 12/30/99

09476689-123099

- ☐ Please charge Deposit Account No. **06-2425** in the amount of \$ _____. A duplicate copy of this transmittal is enclosed.
- ☐ A check in the amount of \$ ____ for the above fees is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **06-2425**. A duplicate copy of this transmittal is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. **06-2425**. A duplicate copy of this transmittal is enclosed.
- ☐ Any patent application processing fees under 37 CFR 1.17.
☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By: 
Thomas H. Majcher
Reg. No. 31,119

Date: December 30, 1999

FULWIDER PATTON LEE & UTECHT, LLP
Attorneys at Law
Center West
10877 Wilshire Boulevard
Tenth Floor
Los Angeles, California 90024

Tele. (310) 824-5555
Fax (310) 824-9696

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